SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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l	hours per response:	0.5				

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Ballard Wes		<u>SI</u>	ssuer Name and Tic J <u>PERIOR EN</u> N]					elationship of Reporti ck all applicable) Director Officer (give title below)	10% 0	Owner (specify			
(Last) 1001 LOUISIA	(First) ANA STREET	(Middle) F, SUITE 2900		3. Date of Earliest Transaction (Month/Day/Year) 03/06/2020						,	& Treasurer	,	
(Street)			4. 1	f Amendment, Date	of Origi	nal Fil	ed (Month/Day		6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON	TX	77002						X	Form filed by On	Form filed by One Reporting Person			
(City)	(State)	(Zip)							Form filed by Mo Person	re than One Re	porting		
		Table I - No	on-Derivative	Securities Ac	quired	d, Di	sposed of	, or Be	eneficial	y Owned			
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(

1. Title of Derivative Security 2. Conversion or Exercise 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3. Transaction (Month/Day/Year) 3. Transaction (Month/Day/Year) 3. Transaction (Month/Day/Year) 5. Number (Month/Day/Year) 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities 8. Price of Derivative Securities 9. Number of derivative Securities 10. Ownership Form: 11. Nature of Indirect Beneficial

Р

3,500

Security (Instr. 3)		(Month/Day/Year)	if any (Month/Day/Year)	8)						Securities Underlying Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$3.01 to \$3.016.

03/06/2020

Remarks:

Common Stock

/s/ William B. Masters, on behalf of Westervelt T. Ballard, Jr., pursuant to a power of attorney

\$3.016⁽¹⁾

80,025

D

A

03/09/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).